



Proofpoint Compliance for Microsoft Office 365

A Best-of-Breed Compliance Solution

The Proofpoint Compliance for Microsoft Office 365 bundle makes it easy to add enterprise-grade compliance to any Microsoft Office 365 cloud deployment. The solution delivers email encryption, data loss prevention, email archiving, and email security features in the cloud to assist organizations in complying with many types of state/local, national, international and industry regulations. These include HIPAA, GLBA, U.K. Data Protection Act, PIPEDA, PCI compliance guidelines, FINRA, FERPA and SEC regulations. Proofpoint Compliance for Microsoft Office 365 offers all the features customers need to protect their email communications and comply with regulations through a suite that includes Proofpoint Enterprise Privacy™, Proofpoint Enterprise Archive™ and Proofpoint Enterprise Protection™.

Proofpoint Compliance for Microsoft Office 365 Benefits

- Guards against leaks of private and confidential information in email with highly-accurate detection using smart identifiers, managed dictionaries, and advanced machine learning techniques.
- Customizable, pre-configured data protection policies make it easy to comply with privacy and data protection regulations.
- Communicate securely with built-in policy-based email encryption.
- Easily remediate, track and report on compliance incidents and trends.
- Supervision review features simplify compliance audit processes and help comply with SEC and FINRA regulations.
- Enables organizations to migrate their security, compliance, and archive to the cloud now, even if they are not prepared to move to Microsoft Office 365 now, but may do so in the future.

The Industry's Most Complete and Effective Email Compliance Solution

Organizations today are migrating to Microsoft Office 365 to address a number of challenges, including the desire to collaborate more cost effectively, the need to work from virtually anywhere, as well as to simply improve their ability to manage email. However, in an era defined by high litigation, increasingly stringent data privacy requirements, complex regulatory demands and severe penalties for non-compliance, securely managing email is becoming more critical than ever.

Many organizations are facing an increasing set of litigation and legal discovery requests, and it is critical that they are able to respond to these requirements in a cost-effective way. For example, organizations must have the ability to automate critical tasks, such as the creation of litigation holds that prevent data from being deleted. Furthermore, those within specific regulated industries must have the ability to enforce retention policies and perform supervisory review of email to ensure that the organization is in compliance with regulatory rules and policies.

In addition, organizations may face compliance challenges in regards to the protection of electronic communications from the improper disclosure of sensitive data about employees, customers, patients,

and other stakeholders. The non-public information that must be protected includes social security numbers, credit card numbers, account numbers, medical or educational records, addresses, or any other easily traceable identifiers. It is critical that the identification of non-public information be done in an accurate and cost-effective manner, without impeding legitimate transactions.

If not managed properly, exposure to legal and regulatory risks can be significant, and can lead to costly fines, guilty verdicts, and damaged reputations.

Proofpoint Compliance for Microsoft Office 365: A Best-of- Breed Compliance Solution

Proofpoint Compliance for Microsoft Office 365 is a cloud-based service that complements Microsoft Office 365 with powerful, yet easy to use features to reduce the risks of non-compliance. These include Proofpoint Enterprise Privacy, Proofpoint Enterprise Archive and Proofpoint Enterprise Protection. In addition to assisting organizations in complying with common international, industry, and U.S. data protection regulations, Proofpoint Compliance for Microsoft Office 365 offers the benefits an organization would expect from a certified cloud infrastructure, such as ease of deployment, low total cost of ownership and budget predictability.

Proofpoint Compliance for Microsoft Office 365

Proofpoint's Powerful Solutions

Proofpoint Enterprise Privacy offers defense-in-depth, including policy-based encryption, for all types of private information in email. Use Proofpoint Enterprise Privacy when:

- Regulatory drivers for DLP are needed
- Secure mobile encryption is required
- Integrated, policy-based encryption is required

Proofpoint Enterprise Archive provides an on-demand email archiving solution for legal discovery, regulatory compliance and email storage management. Use Proofpoint Enterprise Archive when:

- There are legal discovery needs within a regulated industry
- When the industry is highly litigious
- Best-of-breed legal discovery capabilities are required

Proofpoint Enterprise Protection defends both inbound and outbound email against threats including spam, phishing, malware and more. Use Proofpoint Enterprise Protection when:

- A second layer of spam protection for detecting advanced phishing attacks and low volume targeted attacks is desired
- Outbound spam filtering is desired
- Best-of-breed malware detection to protect against possible NPI leaks is required

Policy-based Encryption and Regulatory Compliance with Proofpoint Enterprise Privacy

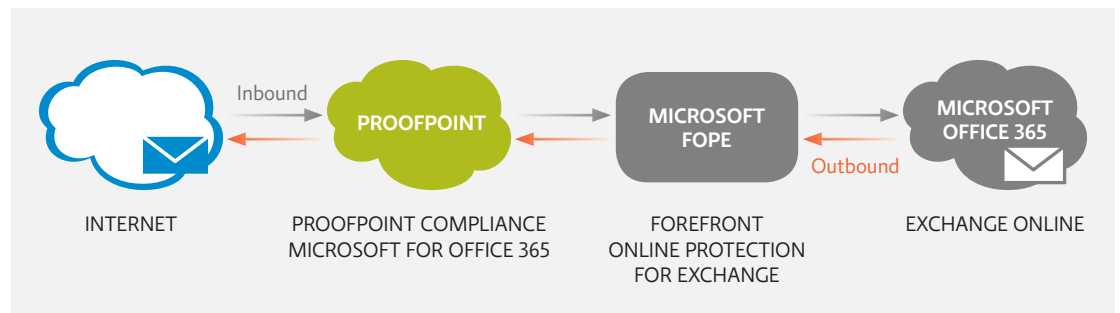
Proofpoint Enterprise Privacy is composed of multiple layers of defenses to protect all types of private information. It analyzes every aspect of an outgoing email message including structured and unstructured content, attachments and message attributes. Customizable rules, managed dictionaries and "smart identifiers" automatically scan for non-public information—such as protected health information and personal financial information—and block or encrypt messages as appropriate.

Also, most privacy and data protection regulations require enterprises to protect private data at rest and in transit using encryption. Proofpoint Enterprise Privacy helps meet these requirements by incorporating the industry's most powerful and flexible solution for policy-driven email encryption.

How Proofpoint Compliance for Microsoft Office 365 integrates with Microsoft Office 365 to deliver DLP, encryption, and email security capabilities:

Fully-Hosted Solution for Inbound and Outbound Email

Proofpoint provides seamless integration with:



INBOUND EMAIL

- Email is sent to Proofpoint
- Proofpoint filters the email and delivers it to FOPE
- FOPE filters the email and delivers it to Exchange Online

OUTBOUND EMAIL

- Exchange Online sends outbound email to FOPE
- FOPE filters the email and delivers it to Proofpoint
- Proofpoint delivers the outbound email to the Internet

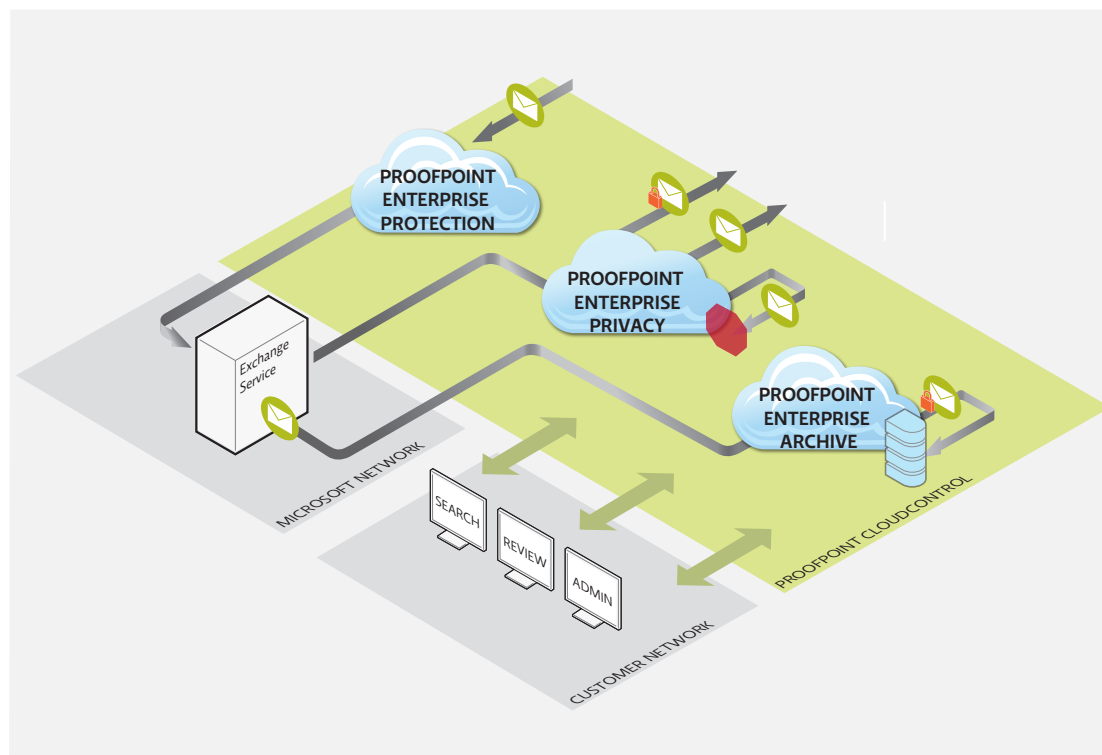
Regulatory Compliance and Legal Discovery with Proofpoint Enterprise Archive

Proofpoint Enterprise Archive helps organizations meet regulatory compliance and legal discovery demands by archiving all email within a secure, tamper-proof storage infrastructure that meets all SEC email storage requirements. Proofpoint's policy engine allows organizations to create, maintain and, most importantly, enforce a clear corporate retention policy for email in accordance with regulatory and geographic market requirements. Additionally, securely managing all email greatly simplifies the identification and collection of specific information for legal discovery, while preserving data integrity to aid in process defensibility. With a rich set of capabilities to manage litigation holds, Proofpoint Enterprise Archive automates what today is set of manual processes entailing significant risk for many organizations.

Sustainably Fast Information Access Backed by SLA

With distributed search architecture and grid-storage infrastructure, Proofpoint Enterprise Archive provides a Search Performance Service Level Agreement that guarantees consistent, near real-time search results, regardless of how large the archive grows or how often searches are required. Immediate access to archived information allows compliance and legal department staff to significantly decrease the time and effort to meet even the most demanding regulatory or discovery information requests.

How Proofpoint Compliance for Microsoft Office 365 integrates with Microsoft Office 365 to deliver email archiving, eDiscovery capabilities, and regulatory compliance capabilities:



Minimizing Regulatory Risk Using Proofpoint Enterprise Protection

Email-borne threats often expose organizations to compliance issues. For example, malware that results in a key logger can bleed out sensitive non-public information from the organization. By complementing the email security services of Microsoft Forefront Online Protection for Exchange (FOPE) with Proofpoint Enterprise Protection, malware detection is greatly enhanced. Proofpoint Enterprise Protection acts in a defense in depth manner as a second layer of spam protection and for advanced outbound spam detection. It extends Microsoft FOPE's detection ability for advanced attacks such as sophisticated phishing and low volume targeted attacks.

Proofpoint Compliance for Microsoft Office 365 addresses the needs of mid-size businesses and large enterprises and is available for any Microsoft Office 365 cloud deployment, including shared, dedicated and those used for and by federal agencies.

Integration with Microsoft Office 365:

Proofpoint Compliance for Microsoft Office 365 integrates in minutes using Microsoft's standard feature set and mail flow scenarios:

- With Proofpoint Enterprise Privacy: routing via the Outbound Smart Host Connector
- With Proofpoint Enterprise Archive: via Remote Journaling
- With Proofpoint Enterprise Protection: pointing MX records to Proofpoint Compliance for Microsoft Office 365 and routing to FOPE

Meets Wide Range of Regulations Worldwide

Proofpoint Compliance for Microsoft Office 365 assists organizations in complying with many types of state/local, national, international and industry regulations, including:

- HIPAA / HITECH
- GLBA
- PCI-DSS
- FERPA
- SOX
- FINRA
- SEC
- UK Data Protection Act
- PIPEDA (Canada)
- EU Data Protection Directive

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Proofpoint focuses exclusively on the art and science of cloud-based email security, eDiscovery and compliance solutions. Organizations around the world depend on Proofpoint's expertise, patented technologies and on-demand delivery system to protect against spam and viruses, safeguard privacy, encrypt sensitive information, and archive messages for easier management and discovery. Proofpoint's enterprise email solutions mitigate the challenges and amplify the benefits of enterprise messaging.

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Control tomorrow's email risks today

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